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TO: MAIL ROOM

Applicant : Erik R. Thoen et al.

Art Unit : 2881

Serial No. : 09/542,061

Examiner : J. Menefee

Filed : April 3, 2000

Title : SEMICONDUCTOR ELEMENTS FOR STABILIZING LASER OUTPUT

Commissioner for Patents  
Washington, D.C. 20231

SECOND AFFIDAVIT OF ERIK R. THOEN UNDER 37 C.F.R. § 1.131

I, Erik R. Thoen, declare as follows:

1. I am the same Erik Thoen who executed the Affidavit of Erik R. Thoen Under Rule 37 C.F.R. sec. 1.131, executed October 2, 2001, and submitted with the Response dated October 4, 2001 ("my First Affidavit").

2. I have read the Office Action mailed December 20, 2001, and the U.S. Patent No. 6,252,892 B1 to Jiang et al. ("the Jiang patent"), cited by the Examiner in the Office Action. The Jiang patent was filed on September 8, 1998.

3. Our pending patent application includes two independent claims directed to producing non-linear increasing loss in a passive mode-locked laser system (claims 10, 26), and one independent claim directed to producing non-linear increasing loss in either a passively or actively mode-locked laser system (claim 1).

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit

Signature

Typed or Printed Name of Person Signing Certificate

June 18, 2002

Maureen Christiano

MAUREEN CHRISTIANO

4. Before September 8, 1998, I conceived of the invention of independent claims 1, 10, and 26, and reduced an embodiment to practice.

a) Before September 8, 1998, co-inventors and I conceived a laser system with a pump, a gain medium producing radiation at an operative wavelength, and a reflector disposed along an optical path in the laser system's cavity, where the reflector includes layers of a first semiconductor material that act as a saturable absorber, and layers of a second semiconductor material that produces nonlinearly increasing loss to stabilize the mode-locked output of the laser system.

b) This conception was recorded in my lab notebook. In my notebook, I calculated that a Bragg mirror having a particular InGaAsP/InP structure and an AR coating, when placed along an optical path in a laser system producing radiation at an operative wavelength of 1540 nm, would produce non-linearly increasing TPA loss, in addition to saturable absorption. These pages from my lab notebook were attached as Exhibit A to my First Affidavit. (The date was redacted from the notebook pages attached as Exhibit A. I represent that this redacted date is earlier than September 8, 1998.)

c) Shortly after performing the above calculations, I measured the reflectivity of the InP InGaAsP Bragg reflector described in subparagraph (b) above using a Kerr-lens mode-locked laser system producing radiation at 1530 nm, with 150 fs pulses. The data showed saturable absorption and nonlinear increasing loss. A graph depicting this data was attached as Exhibit B to my First Affidavit. (I have redacted the date of the experiment from Exhibit B. I represent that this redacted date is earlier than September 8, 1998.)

d) I believe the laser system I used in the experiment described in subparagraph (c) meets all the elements of independent claim 1. The laser system in the experiment defined a cavity, and produced radiation at an operative wavelength. The system included a mode-locking element configured to mode-lock output of the laser (the Kerr-lens), and a semiconductor element (layer of InP) that produced nonlinear increasing loss at the operative wavelength sufficient to enhance stability of the mode-locked output.

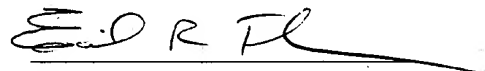
e) I also believe my performance of the experiment described in subsection (c) meets all the elements of method claim 26, for the reasons discussed above in subparagraphs (c) and (d).

f) After performing the experiment of subparagraph (c), I tested this same reflector in a laser system that was not Kerr-lens mode-locked, to demonstrate that the saturable absorption produced by the reflector in the experiment of subparagraph (c) could mode-lock the laser's output. Attached to this Affidavit as Ex. 1 are my lab notebook pages showing the results of this experiment. The first four pages of Ex. 1 are my analysis of the raw data, and the last four are graphs showing the data. As demonstrated clearly by the last two graphs, this laser system is mode-locked by the saturable absorption layers in the reflector at the same operative wavelength that produces nonlinear increasing loss. (The dates in Ex. 1 have been redacted. I represent that these dates are prior to September 8, 1998.)

g) I believe that my experiment of subparagraph (f), in view of the data from the experiment of subparagraph (c), satisfies all the elements of claim 10. The experiment of subparagraph (d) included a pump, a gain medium that produced radiation at an operative wavelength, and a reflector disposed along an optical path in the laser system's cavity. The reflector included layers of a first semiconductor material (InGaAsP) that acted as a saturable absorber at the operative wavelength to mode-lock output of the laser, and one or more layers of a second semiconductor material (InP). As demonstrated by the data shown in experiment (c), the InP produces nonlinear increasing loss at the operative wavelength sufficient to enhance the stability of the mode-locked output.

5. I affirm, under penalty of perjury, that all statements made herein are true, to the best of my knowledge, information and belief.

Dated: 6/13/02



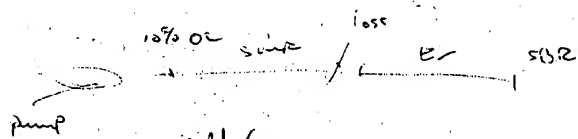
Erik R. Thoen

## TPA Effects

### Saturation - measurements

various structures  
range of losses  
simple modelling  
mirror alone.  
Simple cavity

$p_s$  vs  $f_s \Rightarrow$  proof of  
mechanism.  
NaCl vs aPO  
or stretching



add loss  
identical single pass gain -  
different cavity config. vs  
only diff Br fite - comparable

demonstrate pulses limited  
by TPA.

AC / spectrum.

disp shift vs SBR

solito - or no sol shaping

## Phase Measurements

explanation of  
dynamics

relate to SOAs

introduce x's  
sample of large  
response.

Noncollinear  
measurement  
expectations based on  
SOAs but really CW  
associated theory  
paper

General dynamics

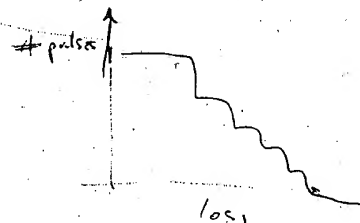
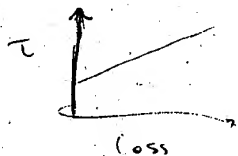
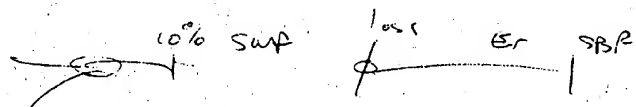
Done already

need to relate to

lasing characteristics

Proton bombardment  
during time  
course

## Absorber Design



Investigate multiple pulsing  
previously w/ power dependence

### Saturable Absorber Dynamics

Varies pulse energy?

high loss cases for waveguide  
investigate requirements

vary through Q switch

Q sw modelocked

CW modelocked

illustrate continuous control of dynamics

Modelocking risetime

In fiber?

in the dropper?

1. How good is  
loss attenuator -  
2. Is pumping the  
same as loss?

New SBR D A2

$t = 20 \text{ cm}$

I  
100  
200  
300

Point

The unit is 2 cm

Focus was on the same  
as for the HR.

Here the time down - I  
don't observe Q5 - Q5ML

on the of spectrum analyzer



1.8 GHz

The air cavity forms a CP ~~filter~~ filter - is  
of the modes

changing to SBR III Resonant

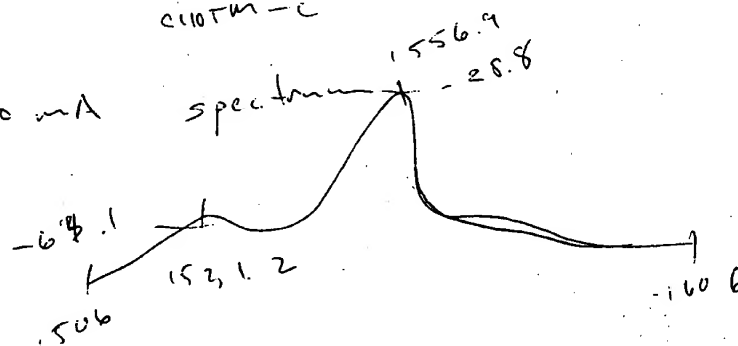
SBR III R



1107M - C

at 150 mA

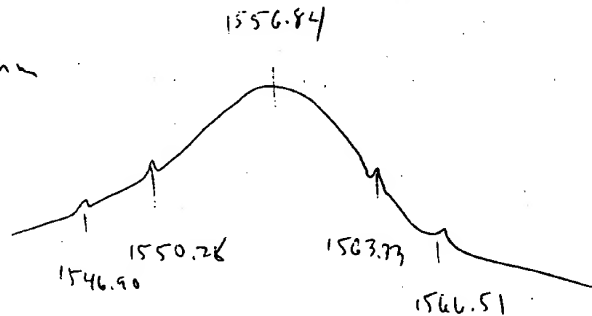
spectrum



Saved in  
98073101.052

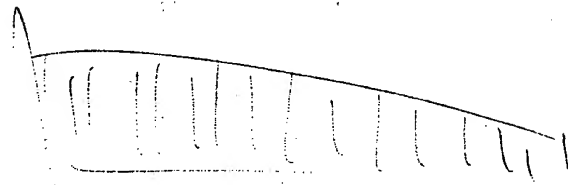
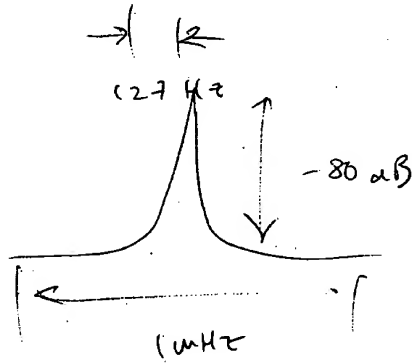
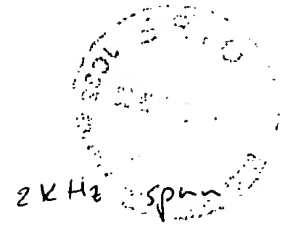
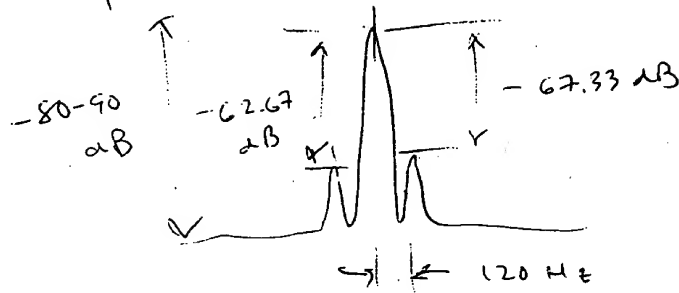
Blow up (30 cm span)

3dB 3.37m



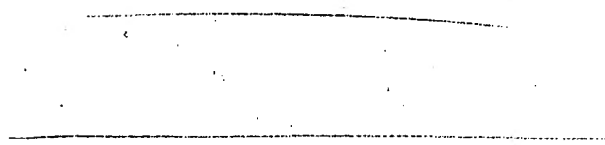
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Measuring the rep rate 42.234458 MHz



Modes locked to  $2.9 \text{ GHz}$

Time domain:-



completely flat on  $1 \text{ ms}$  time base



pulse width limited detector - BW

Q5

| I   | $T_r$ |
|-----|-------|
| 90  | 64 ns |
| 100 | 55 ns |
| 110 | 52 ns |
| 120 | 46 ns |
| 130 | 43 ns |

CW mode locking

$$\frac{125.5}{125} - \frac{160}{164}$$

Very sensitive to backreflections  
measurements taken w/ FC connector screwed  
in all the way.

Q5

| I   | $T_r$   |
|-----|---------|
| 170 | 36 ns   |
| 180 | 34 ns   |
| 220 | 30 ns   |
| 250 | 27.5 ns |
| 300 | 25 ns   |

$$P_{in} T_{up} T_{oc} = P_{out}$$

$$P_{in} = \frac{P_{out}}{T_{up} T_{oc}} = \frac{P_{out}}{0.15}$$

$$= 6.3309 \text{ B}$$

| CW | I      | $P_{out}$ | Calculated         |   |
|----|--------|-----------|--------------------|---|
|    |        |           | Inter-cavity Power | $E \left( \frac{-J}{\text{cm}^2} \right)$ |
|    | 150 mA | 0.49 mW   | 3.10 mW            | 73.91                                     |
|    | 140    | 0.43      | 2.72               | 64.85                                     |
|    | 130    | 0.38      | 2.41               | 57.46                                     |
|    | 120    | 0.55      | 3.48               | 82.97                                     |



all seem to  
make sense

Calculating the pulse width assuming trapezoidal pulses.

$$A_L + V = 0.3148 \text{ sed}$$

$$\delta \gamma = \frac{c}{\lambda} \quad \frac{\partial \gamma}{\partial \lambda} = -\frac{c}{\lambda^2} \quad \Delta \gamma = -\frac{c}{\lambda^2} \Delta \lambda$$

$$\Rightarrow \Delta L = \frac{0.3148}{C \Delta T} T^2$$

$$\Delta T = \frac{0.3148 (1556.84 \times 10^{-9} \text{ m})^2}{(3 \times 10^8 \text{ m/s})(3.37 \times 10^{-9} \text{ m})}$$

$$\Delta T = 754.7 \text{ fs}$$

So at least it should be sub-prose and,

Now let's try to obtain the other cavity parameters.

Estimate spot size of output  $1.1 \times 10^{-3} \text{ m} = 1.1 \text{ mm}$

Gaussian focused spot diameter,  $f = 6.24 \mu\text{m} = 6.24 \times 10^{-3} \text{m}$

$$a = \frac{47 f}{\pi d} = \frac{4 (1556.84 \times 10^{-7} \text{ m}) (6.24 \times 10^{-3} \text{ s})}{\pi (1.1 \times 10^{-3} \text{ m})}$$

$\epsilon = 1.245 \times 10^{-5} \text{ m} = 11.25 \text{ nm}$   $\left( \frac{1.1 \times 10^{-3} \text{ m}}{100} \right)$  very close to the C.C. - wavelength diameter

Now the energy density

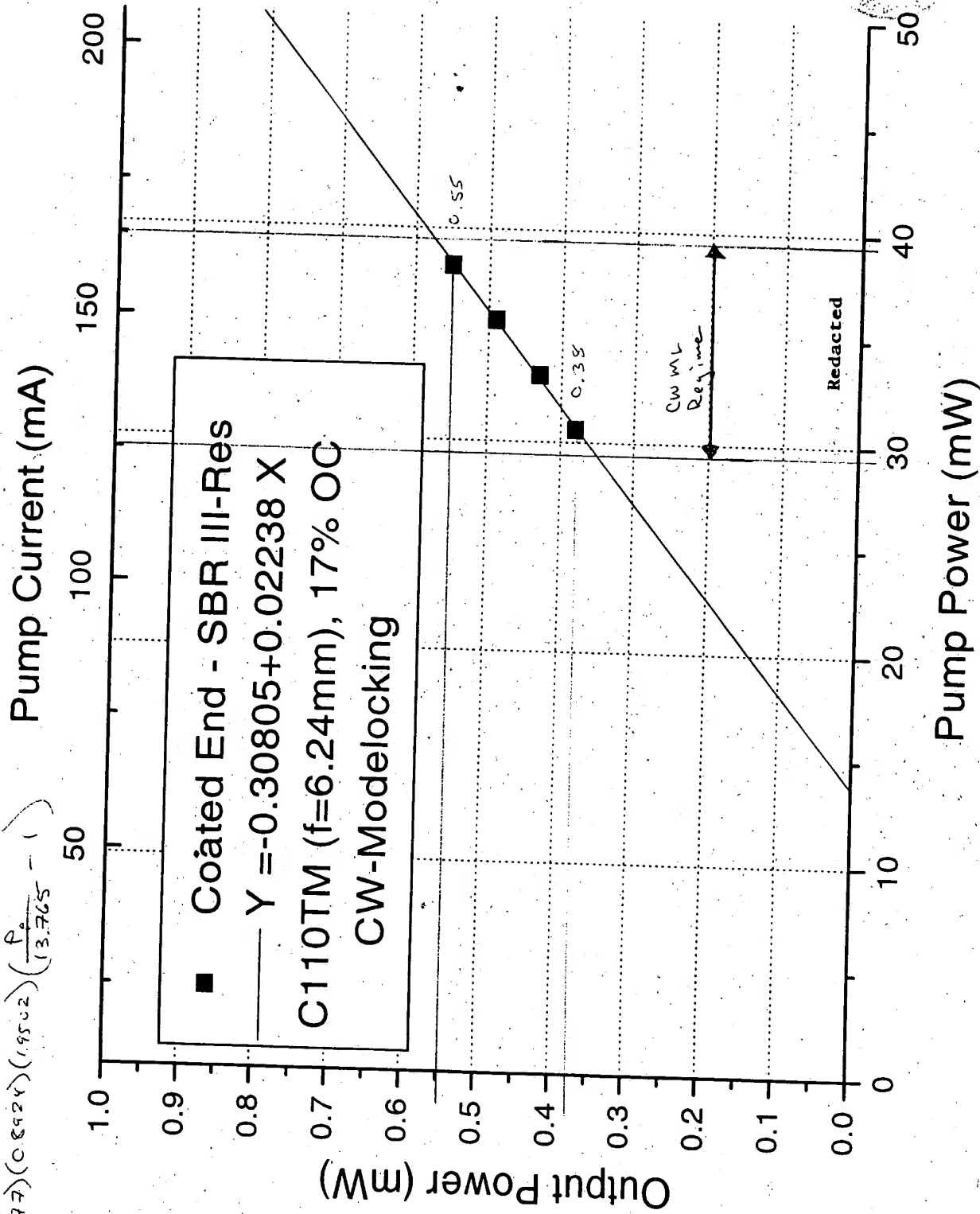
$$E = \frac{P_{av}}{S} \cdot \frac{1}{\pi \left(\frac{r}{2}\right)^2} = \frac{P_{av} \left(\frac{W}{S}\right)}{(42.234 \times 10^6 \text{ W/m}^2) \cdot \pi \left(\frac{1.1245 \times 10^{-5} \text{ m}}{2 \left(\frac{1 \text{ m}}{100 \text{ cm}}\right)}\right)^2} \cdot \frac{1000 \text{ mJ}}{1000 \text{ mJ}}$$

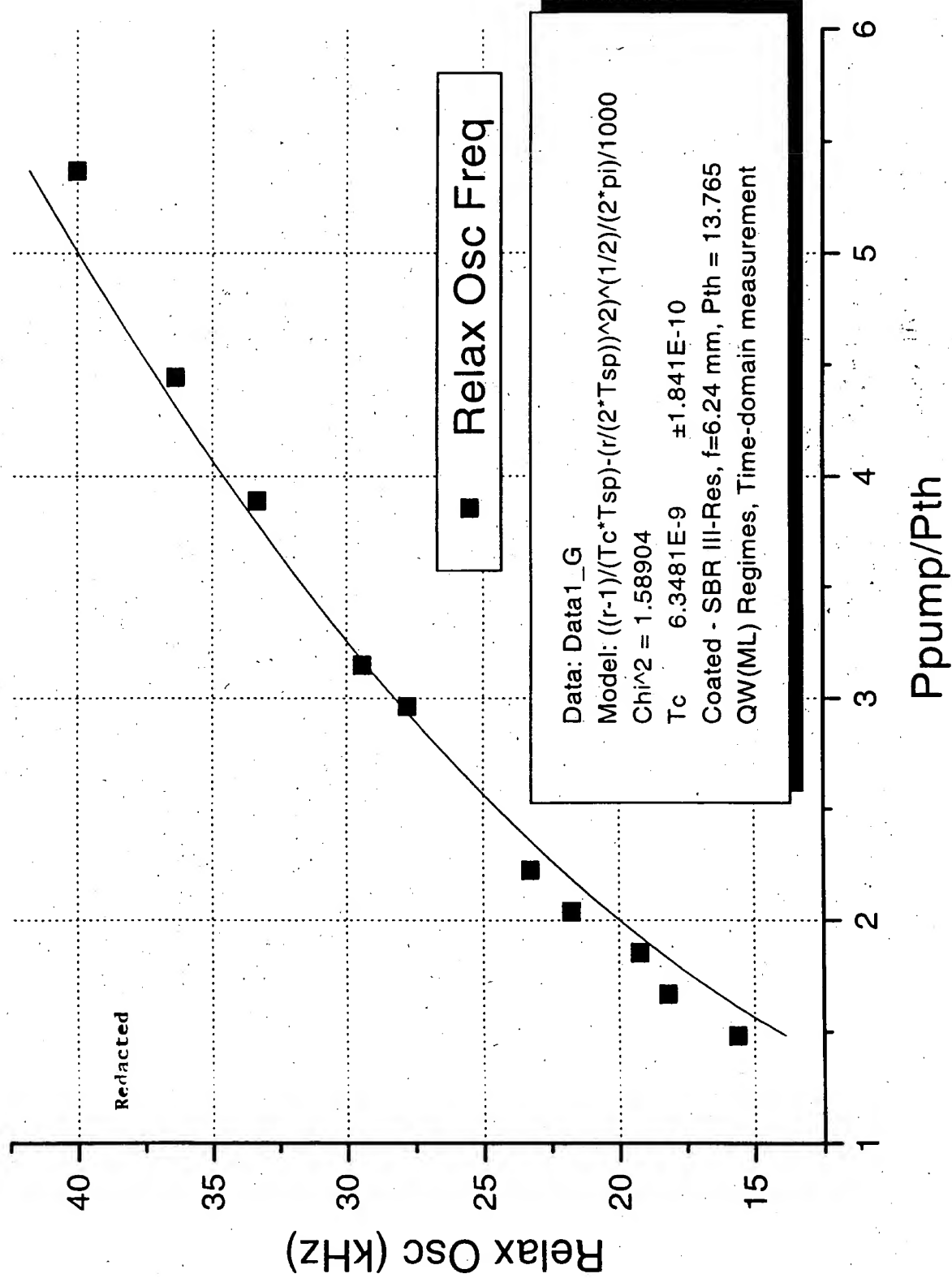
calculating on the previous page

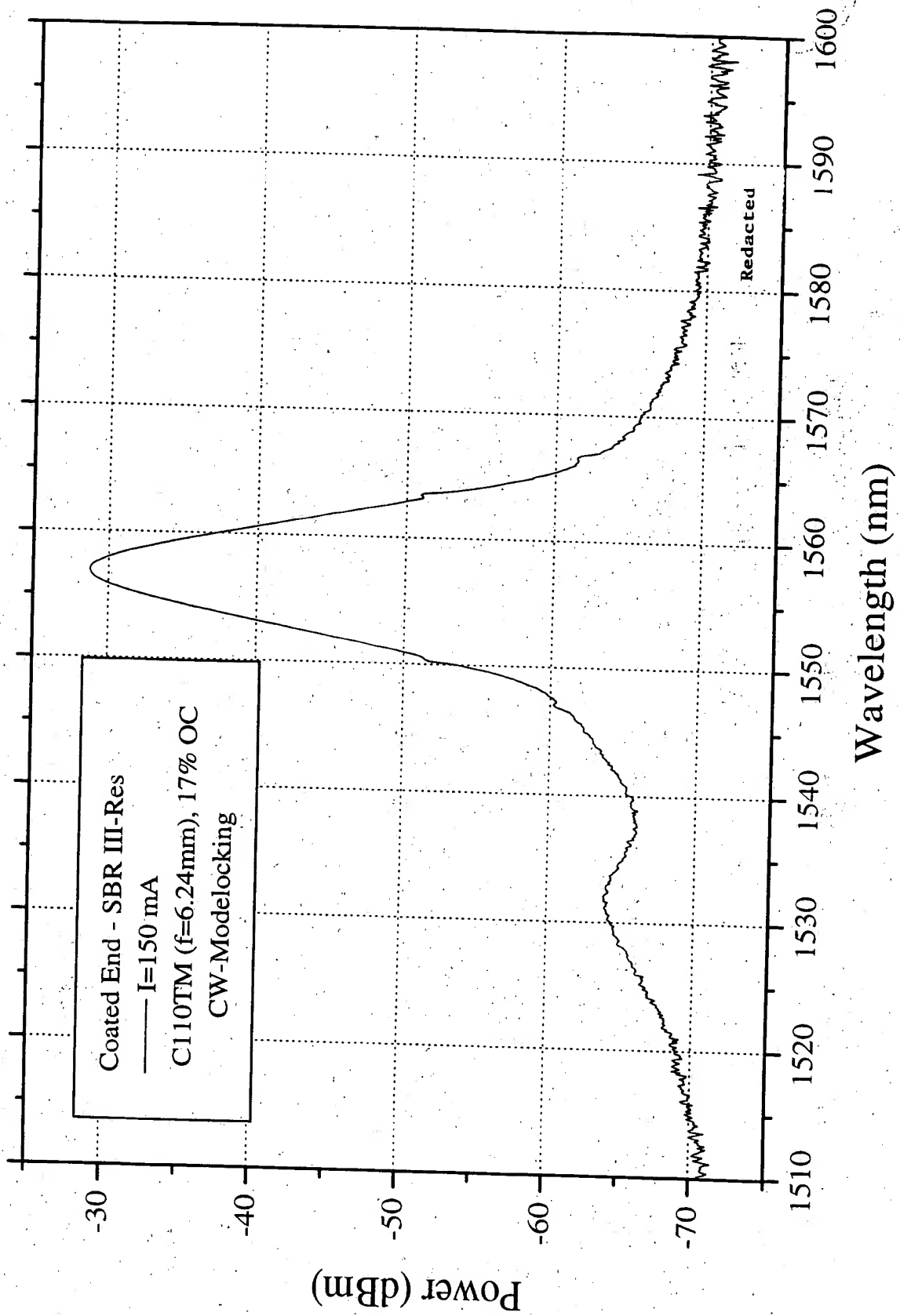


$$P_{out} = T_{oc} P_{in} \left( \frac{P_{in}}{P_{th}} - 1 \right)$$

$$= (0.177)(0.8924)(1.9502) \left( \frac{P_{in}}{13.765} - 1 \right)$$







1555.5

